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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/916,598	07/26/2001	Padmanabha I. Venkitakrishnan	10008009	8711

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EXAMINER

KNOLL, CLIFFORD H

ART UNIT	PAPER NUMBER
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2112

DATE MAILED: 07/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/916,598

**Applicant(s)**

VENKITAKRISHNAN ET AL.

**Examiner**

Clifford H. Knoll

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 25 October 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 July 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date: _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                    | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date: _____ | 6) <input type="checkbox"/> Other: _____  |

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### **DETAILED ACTION**

This Office Action is responsive to communication filed 5/10/05. Currently claims 1-20 are pending.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

#### ***Claim Rejections - 35 USC § 102***

1. *Claims 1-4, 7, and 9 are rejected under 35 U.S.C. 102(e) as being anticipated by Arimilli (US 6587926).*

Regarding claim 1, Arimilli discloses the processor units (e.g., Figure 1, "102"), cache units (e.g., Figure 1, "108"), embedded RAM (e.g., Figure 1, "114"), a cache coherent bus coupled to the processor units and the embedded RAM unit, the bus configured to provide cache coherent snooping commands from the processor units themselves (col. 9, lines 39-45) to ensure cache coherency between the cache units for the processors and the embedded RAM unit (e.g., col. 2, lines 1-5).

Regarding claim 2, Arimilli also discloses an input output unit coupled to the bus to provide input and output transactions for the processor units (e.g., col. 5, lines 23-27).

Regarding claim 3, Arimilli also discloses the bus configured to provide split transactions for the processor units coupled to the bus (e.g., col. 5, lines 28-31).

Regarding claim 4, Arimilli also discloses the bus is configured to transfer an entire cache line for the cache units of the processor units (e.g., col. 7, lines 48-50).

Regarding claim 7, Arimilli also discloses support of a symmetric multiprocessing method for the plurality of processor units (e.g., col. 4, lines 49-55).

Regarding claim 9, Arimilli also discloses the processor units are configured to provide read data via the bus when the read data is stored within a respective cache unit (e.g., col. 7, lines 54-56).

### ***Claim Rejections - 35 USC § 103***

2. *Claim 5 rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli in view of Arimilli-2.*

Regarding claim 5, Arimilli also discloses a system bus, but neglects to mention the particular detail of bus width; however this feature is disclosed by Arimilli-2. Arimilli-2 discloses the bus is 256 bits wide (e.g., col. 9, lines 7-8). A person of ordinary skill in the art would be motivated to combine Arimilli-2 with Arimilli because Arimilli-2 teaches the improvement of a cache coherent system, such as Arimilli, by accommodating the standard system bus width of 256 bits as a sector that does not need to be invalidated (e.g., col. 5, lines 35-38). Therefore it would be obvious to one of ordinary skill in the art to combine Arimilli-2 with Arimilli at the time the invention was made to obtain the claimed invention.

3. *Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli in view of standard practice of memory implementation, as further evidenced by Miller (6560682).*

Regarding claim 6, Arimilli discloses an embedded RAM core, but fails to disclose the detail of using DRAM to implement memory; however the examiner takes Official Notice that the use of a DRAM core is standard embodiment of a RAM memory. This is further evidenced by Miller. Miller discloses the embedded DRAM core (e.g., col. 5, lines 7-10). It would be obvious to combine the DRAM implementation of memory with Arimilli because the embedded DRAM core is a standard means to implement a RAM unit. Therefore it would be obvious to one of ordinary skill in the art to combine a standard memory embodiment with the disclosure of Arimilli.

4. *Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli in view of standard embodiment of a processor, as further evidenced by Bitar (US 6418460).*

Regarding claim 8, Arimilli neglects to disclose implementational details of a particular processor core; however the Examiner takes Official Notice that MIPS architecture is a standard processor and is well-known for its implementation in symmetric multiprocessing systems, such as in the system of Arimilli. This is further evidenced by Bitar. Bitar discloses the multi-processor units are compatible with a version of a MIPS processor core (e.g., Figure 2B, col. 13, line 39; and col. 17, lines 13-14 in the context of multiprocessor systems). It would be obvious to combine Arimilli

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with the standard MIPS architecture, because the use of MIPS architecture is widely known in the implementation of symmetric multiprocessing systems such as the system of Arimilli. Therefore at the time the invention was made, it would be obvious to a person of ordinary skill in the art to combine the MIPS architecture, as evidenced by Bitar, with Arimilli to obtain the claimed invention.

5. *Claims 10-13, 16, and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli in view of the standard practice of integrating circuits, as further evidenced by Sherburne (2002/0184546).*

Regarding claim 10, Arimilli discloses a power supply; a plurality of processor units; a plurality of cache units, one of the cache units provided for each one of the processor units; an embedded RAM unit for storing instructions and data for the processor units (e.g., Figure 1); a cache coherent bus coupled to the processor units and the embedded RAM unit, the bus configured to provide cache coherent snooping commands from the processor units themselves (col. 9, lines 39-45) to ensure cache coherency between the cache units for the processor units and the embedded RAM unit. (e.g., col. 2, lines 1-5). Arimilli does not expressly mention a particular embodiment of an integrated circuit die; however the Examiner takes Official Notice that it is manifestly obvious to integrate multi-processing devices for the well-known and well-noted advantages of portability, power consumption, and so forth. This is further evidenced by Sherburne. Sherburne discloses the well-known practice of using highly integrated devices to obtain the advantages of decreased size and weight (e.g., paragraph [0002]).

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It would be obvious to combine Arimilli with the well-known practice of integration because the practice is standard and the advantages for doing so are well established in areas such as those evidenced by Sherburne, which included multiprocessing systems with cache and embedded memory. Therefore it would be obvious to one of ordinary skill in the art to combine Arimilli with the standard practice of integration.

Regarding claim 11, Arimilli also discloses an input output unit coupled to the bus to provide input and output transactions for the processor units (e.g., col. 5, lines 23-27).

Regarding claim 12, Arimilli also discloses the bus configured to provide split transactions for the processor units coupled to the bus (e.g., col. 5, lines 28-31).

Regarding claim 13, Arimilli also discloses the bus is configured to transfer an entire cache line for the cache units of the processor units (e.g., col. 7, lines 48-50).

Regarding claim 16, Arimilli also discloses support of a symmetric multiprocessing method for the plurality of processor units (e.g., col. 4, lines 49-55).

Regarding claim 18, Arimilli also discloses the processor units are configured to provide read data via the bus when the read data is stored within a respective cache unit (e.g., col. 7, lines 54-56).

6. *Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli and the standard practice of integration, as applied in claim 10 above, further in view of Arimilli-2.*

Regarding claim 14, Arimilli also discloses a system bus, but neglects to mention the particular detail of bus width; however this feature is disclosed by Arimilli-2. Arimilli-

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2 discloses the bus is 256 bits wide (e.g., col. 9, lines 7-8). A person of ordinary skill in the art would be motivated to combine Arimilli-2 with Arimilli because Arimilli-2 teaches the improvement of a cache coherent system, such as Arimilli, by accommodating the standard system bus width of 256 bits as a sector that does not need to be invalidated (e.g., col. 5, lines 35-38). Therefore it would be obvious to one of ordinary skill in the art to combine Arimilli-2 with Arimilli and the well-known practice of integration at the time the invention was made to obtain the claimed invention.

7. *Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli and the standard practice of integration, as applied in claim 10 above, further in view of the standard practice of memory implementation, as further evidenced by Miller.*

Regarding claim 15, Arimilli fails to disclose the detail of using DRAM to implement memory; however the examiner takes Official Notice that the use of a DRAM core is standard embodiment of a RAM memory. This is further evidenced by Miller. Miller discloses the embedded DRAM core (e.g., col. 5, lines 7-10). It would be obvious to combine standard implementation practice with Arimilli because the DRAM is a standard means to implement an embedded RAM core. Therefore it would be obvious to one of ordinary skill in the art to combine a standard memory embodiment with the disclosure of Arimilli and the well-known practice of integration.



8. *Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli and the standard practice of integration, as applied in claim 10 above, further in view of a standard processor embodiment, as evidenced by Miller.*

Regarding claim 17, Arimilli neglects to disclose implementational details of a particular processor core; however the Examiner takes Official Notice that MIPS architecture is a standard processor for symmetric multiprocessing, such as in the system of Arimilli. This is further evidenced by Bitar. Bitar discloses the processor units are compatible with a version of a MIPS processor core (e.g., Figure 2B, col. 13, line 39; and col. 17, lines 13-14 in the context of multiprocessor systems). It would be obvious to combine Arimilli with the standard MIPS architecture, because the use of MIPS architecture is standard in the implementation of symmetric multiprocessing systems such as the system of Arimilli. Therefore at the time the invention was made, it would be obvious to a person of ordinary skill in the art to combine the MIPS architecture, a standard embodiment as evidenced by Bitar, with Arimilli and the standard practice of integration to obtain the claimed invention.

9. *Claims 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Arimilli, in view of standard practice of integration and memory implementation, as evidenced by Sherburne, and further in view of Arimilli-2.*

Regarding claim 19, Arimilli discloses and a power supply, a plurality of processor units; a plurality of cache units, one of the cache units provided for each one of the processor units; an embedded RAM core unit for storing instructions and data for

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the processor units (e.g., Figure 1); a cache coherent bus coupled to the processor units and the embedded RAM core unit, the bus configured to provide cache coherent snooping commands from the processor units themselves (col. 9, lines 39-45) to ensure cache coherency between the cache units for the processor units and the embedded RAM core unit (e.g., col. 2, lines 1-5). Arimilli does not expressly mention a particular embodiment of an integrated circuit die and said invention occurring in a portable handheld device; however the Examiner takes Official Notice that it is manifestly obvious to integrate multi-processing devices for the well-known and well-noted advantages of portability, power consumption, and so forth. Likewise, the Examiner also takes Official Notice that the use of DRAM as a memory implementation is standard practice. This also is further evidenced by Sherburne. Sherburne discloses the well-known practice of using highly integrated devices rendering the advantages of decreased size and weight (e.g., paragraph [0002]) as well as the manifest advantages of portability in a use such as handheld device (e.g., paragraph [0002]) and also the use of DRAM to implement memory (e.g., Figure 1). It would be obvious to combine Arimilli with the well-known practice of integration because the practice is standard and the advantages for doing so are well established in areas such as those evidenced by Sherburne, which include multiprocessing systems with cache and embedded memory. Likewise the use of DRAM to implement memory as, evidenced by Sherburne, is standard practice. Therefore it would be obvious to one of ordinary skill in the art to combine Arimilli with the standard practice of integration.

Arimilli also discloses a system bus, but neglects to mention the particular detail of bus width; however this feature is disclosed by Arimilli-2. Arimilli-2 discloses the bus is 256 bits wide (e.g., col. 9, lines 7-8). A person of ordinary skill in the art would be motivated to combine Arimilli-2 with Arimilli because Arimilli-2 teaches the improvement of a cache coherent system, such as Arimilli, by accommodating the standard system bus width of 256 bits as a sector that does not necessarily need to be invalidated (e.g., col. 5, lines 35-38). Therefore it would be obvious to one of ordinary skill in the art to combine Arimilli-2 with Arimilli and the well-known practice of integration at the time the invention was made to obtain the claimed invention.

Regarding claim 20, Arimilli also discloses the bus configured to provide split transactions for the processor units coupled to the bus (e.g., col. 5, lines 28-31).

### ***Response to Arguments***

Applicant's arguments filed 5/10/05 have been fully considered but they are not persuasive.

Applicant argues that Arimilli does not disclose that "the snooping is for ensuring cache coherency between cache units for processors and an embedded RAM unit" and distinguishes Arimilli as "concerned with the management of data access transactions within a data storage system"; however, this does not distinguish Arimilli who discloses each feature in the claimed invention; hopefully, additional citations in the rejection *supra* make the interpretation clear.

More particularly, Applicant argues that Arimilli does not disclose “that the snooping is for ensuring cache coherency between cache units for processors and an embedded RAM unit” (p. 3); however, Arimilli discloses that “the snooping device is a potential third party transactor.... If a match is found ... the snoop device write[s] the target data into its memory” (col. 9, lines 39-45). The processor unit ensures cache coherency among the caches and RAM by determining whether the transaction data pertains to it itself and if it does (i.e., if it is a “third party transactor”), it writes the snooped target data to its memory. By writing the updated data to its own memory, the processor ensures that its own data is up-to-date. The Examiner determines that this feature thus teaches “ensuring cache coherency”.

Applicant further attempts to distinguish Arimilli; noting that in Figure 5 (step 510) “a snoop request is made when a coherency update is *not needed* (p. 3, emphasis original); however, there is no recitation that supports any distinction from this particular feature of Arimilli. Moreover, Figure 5 pertains to data as descends a cache hierarchy within a node and does not pertain to the interpretation of Figure 6, which concerns the snoop device relied upon to anticipate the same feature in the claimed invention.

Regarding the 103 rejections, Applicant argues that the combination does not “provide cache coherent snooping commands” as argued previously regarding Arimilli. These arguments have been treated *supra*.

Thus the Examiner maintains the rejections.

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Clifford H. Knoll whose telephone number is 571-272-3636. The examiner can normally be reached on M-F 0630-1500.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rehana Perveen can be reached on 571-272-3676. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

chk



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PRIMARY EXAMINER  
7/21/05